PRIME MINISTER

SOCIALIST REPUBLIC OF VIETNAM Independence - Freedom - Happiness

No. 37/2018/QD-TTg

Hanoi, August 31, 2018

DECISION

STANDARDS AND PROCEDURES FOR CONSIDERATION OF RECOGNITION OF ACCREDITATION AND APPOINTMENT TO PROFESSOR OR ASSOCIATE PROFESSOR TITLE; PROCEDURES FOR CANCELLATION OF RECOGNITION AND REMOVAL OF PROFESSOR OR ASSOCIATE PROFESSOR TITLE

Pursuant to the Law on Government Organization dated June 19, 2015;

Pursuant to the Law on Education dated June 14, 2005; the Law on Amendment and Supplement to the Law on Education dated November 25, 2009;

Pursuant to the Law on Higher Education dated June 18, 2012;

Pursuant to the Government's Decree No. 75/2006/ND-CP dated August 2, 2006, elaborating and providing guidance on the implementation of a number of articles of the Law on Education; the Government's Decree No. 31/2011/ND-CP dated May 11, 2011 on amendments and supplements to the Government's Decree No. 75/2006/ND-CP dated August 2, 2006, elaborating and providing guidance on the implementation of a number of articles of the Law on Education;

Upon the request of the Minister of Education and Training;

The Prime Minister hereby promulgates the Decision on standards and procedures for consideration of recognition of accreditation and appointment to professor or associate professor title; procedures for cancellation of recognition and removal of professor or associate professor title.

Chapter I

GENERAL PROVISIONS

Article 1. Scope and subjects of application

- 1. This Decision provides for standards, procedures for consideration of recognition of accreditation and appointment to professor or associate professor title; procedures for cancellation of recognition and removal of professor or associate professor title.
- 2. This Decision shall apply to lecturers teaching at national universities, universities, colleges, academies, research institutes permitted to provide doctoral training programs, practical facilities

of higher education establishments training in the healthcare discipline in Vietnam and other relevant organizations and individuals.

Article 2. Definition

For the purposes of this Decision, terms used herein shall be construed as follows:

- 1. "Lecturer" of higher education institutions is classified into the tenured lecturer and the guest lecturer in accordance with laws.
- 2. "Head of educational institution" is a general phrase referring to such titles as Director of a national university, Director of a university, Director of an academy, President of a higher education institution and Director of a research institute licensed to provide a doctoral degree program.
- 3. "Scientific paper" refers to the scientific research work by an author that has been published in a scientific journal with the international standard code ISSN, explicitly expresses scientific ideas, rationales, national and international studies, major theoretical and practical contributions; methods, means of study, cited sources and references.
- 4. "Academic book" means a book which describes scientific contents and of pedagogical nature, is used for performing training and educational activities at the undergraduate or higher level in the academic majors or specialties that the candidate registers for recognition of accreditation for professor or associate professor title, and bears the international standard book number (ISBN) in effect from the effective date of this Decision. It is classified into the followings:
- a) "Textbook" refers to a teaching and learning material which has contents suitable for a training and educational program, is reviewed and selected by the head of a higher education establishment, or approved by the Minister of Education and Training;
- b) "Monograph" refers to a scientific research representing the results of an intensive and comparatively comprehensive research on a scientific issue arising in the research specialty;
- c) "Reference book" refers to a scientific research work with contents appropriate for training and educational programs which a higher education institution accepts as reference materials intended for lecturers and students;
- d) "Instructional book" refer to a book that a higher education institution uses for guiding students, for providing guidance on practical activities in an academic major, practical activities based on a textbook, internship activities in hospitals and practical activities in a workshop; specialized dictionaries.
- 5. "Proficient in using foreign languages/ foreign language proficiency for performing research tasks of a person" (with respect to a single foreign language) shall be determined if

- a) he/she is able to comprehensively read an academic article or material; able to write academic articles; able to present or discuss (listen and speak) any academic issues in a specified foreign language;
- b) he/she has studied and researched full time in foreign countries, and is awarded the BA, MA or doctorate degree by an overseas higher education institution;
- c) he/she is teaching a major in a specified foreign language;
- d) he/she has graduated from a foreign linguistics university with a bachelor degree in the foreign linguistics;
- dd) he/she has reached at least level-4 in the 6-level foreign language proficiency framework of Vietnam promulgated by the Minister of Education and Training.
- 6. "Able/ability to communicate in English" refers to an ability to express what a person wants the other person to understand and understand what the other person speaks in English about general academic information and in common conversational situations.

Article 3. Duties of a professor and an associate professor

- 1. Perform tasks of lecturers under the provisions of the Law on Education, the Law on Higher Education, working regimes of lecturers and other relevant laws.
- 2. Design the academic curriculum, syllabus and other books used for academic purposes; deliver lectures and provide instructions for preparation of undergraduate essays, dissertations, master's theses, specialty or major theses, doctoral dissertations, and perform other relevant tasks.
- 3. Research and promote scientific application and technology transfer, and assure the training quality.
- 4. Train himself/herself in ethics, scientific etiquette, professional skills and knowledge, and provide the major group or team's members with orientations towards involvement in scientific and technological researches.
- 5. Collaborate with other colleagues in performing academic duties; participate in scientific and technological counseling and technology transfers in order to meet the needs for performing economic, social, national defence and security activities and other tasks.

Chapter II

STANDARDS OF PROFESSOR OR ASSOCIATE PROFESSOR TITLE

Article 4. Standards of professor or associate professor title

- 1. Avoid violating the teacher's ethics, not to be subject to disciplinary actions which may be a reprimand or more serious one or execution of criminal judgements; ensure authenticity and impartiality for training, scientific research and other activities.
- 2. Standards concerning the period of performance of duties of a lecturer at the undergraduate or higher level:
- a) He/she must accumulate adequate time to perform lecturing duties at the undergraduate and higher level under the provisions of Clause 2 Article 5 of this Decision with respect to the professor title; Clauses 2 and 3 Article 6 of this Decision with respect to the associate professor title;
- b) The period during which he/she holds the position as an educational expert at an overseas higher education establishment shall be assumed as the period of performance of lecturing duties at the undergraduate and higher level as provided by a diplomatic note or guest lecturer contract of that establishment, clearly stating his/her duties and lecturing timelength, or under the decision of the Minister of Education and Training that sends him/her abroad as an overseas educational expert;
- c) He/she has been acquiring 10 successive years' experience in performing lecturing duties at the undergraduate and higher level till the submission deadline. With respect to such period of experience, if he/she has received internship or refresher programs for less than 12 months in the last 3 years, that 12-month timelength shall not be considered as an interval in the period of these last 3 years.
- 3. He/she must successfully finish assigned duties and fully meet lecture hour requirements in accordance with regulations adopted by the Minister of Education and Training, including at least a half of such lecture hours during which he/she is directly giving in-class lectures. Guest lecturers shall be required to attain at least 50% of the lecture hour norm as prescribed in this clause.

The head of a higher education establishment shall review and evaluate in writing the tasks assigned to lecturers, clearly stating the course's title, level of training, fostering, level of completion of teaching volume, dissertation guide, thesis, project or thesis; about the training results and research of the lecturers.

- 4. Proficiency in at least one foreign language for professional work and ability to communicate in English.
- 5. Have enough grades of scientific works converted into minimum grades as prescribed in Clause 9, Article 5 of this Decision, for professor titles and Clause 8, Article 6 of this Decision, for the position of associate professor.

Article 5. Standards of professor title

1. Meet the general criteria of the professor title stipulated in Article 4 of this Decision.

- 2. Have been assigned the title of associate professor for full 03 years or more up to the deadline for submission of dossiers of registration for recognition of the professor title at the grassroots-level Council (hereinafter referred to as submission deadline) Candidates who have already been appointed as associate professors but have not yet completed 3 years and who have not enough teaching hours as prescribed in Clause 3, Article 4 of this Decision must have at least twice more than the minimum contribution from scientific studies from scientific articles or patents; utility solution; works of art, achievements in training and competitions in physical training and sports that have won national or international prizes specified at Point b, Clause 9 of this Article.
- 3. Assume the prime responsibility for, or participate in, the formulation and development of programs of training and fostering from universities or higher levels or scientific and technological tasks (programs, projects, research subjects), application deployment Science and technology in line with the branch or specialty of science which has been registered for recognition and accreditation of the professor title and is established by the head of a tertiary education institution or a competent body verified and put into use.

4. Announcement of scientific research results

- a) The applicant is the principal author who publishes at least three scientific papers or patents; utility solution; works of art, achievements in training and competitions in international physical training and sport. Candidates who do not have enough scientific works defined at this point must have at least 02 scientific works mentioned above and 01 training book chapters published by a prestigious publishing house in the world or at least 02 of the above scientific works and 01 monographs published by a prestigious publishing house.
- b) The applicant is the principal author who publishes at least three scientific papers or patents; utility solution; works of art, achievements in training and competitions in international physical training and sport. Candidates who do not have enough scientific works defined at this point must have at least 03 scientific works mentioned above and 02 training book chapters published by a prestigious publishing house in the world or at least 03 of the above scientific works and 02 monographs published by a prestigious publishing house.
- 5. Assume the prime responsibility for compiling books in service of training of university or higher degree suitable to the majors for recognition of the professor title.
- 6. Assume the prime responsibility for implementing at least two ministerial-level scientific and technological tasks or one national-level scientific and technological task, which have been accepted by the State. Candidates failing to perform the scientific and technological tasks prescribed in this Clause shall be replaced by scientific papers or patents; utility solution; works of art, achievements in training and competitions in international physical training and sport. Each ministry-level scientific and technological task shall be replaced with another scientific paper or scientific work mentioned in this Clause.
- 7. Main guidelines for at least 02 PhD students are required by law. Candidates who fail to provide sufficient instruction in this clause shall be replaced by a scientific paper or (i) patent; utility solution; art works, achievements in training and competitions in physical training and

sports to win international prizes; Main instructor for 01 doctoral student that is replaced by 03 scientific articles or scientific works defined at this point.

- 8. Scientific articles defined in Clauses 4, 6, 7 and Point c, Clause 9 of this Article are scientific papers published in prestigious international scientific journals after their candidates are recognized the title of Associate Professor.
- 9. Candidates must have at least 20.0 points for scientific research, including:
- a) At least 5.0 points will be taken in the last three years up to the deadline for submission;
- b) Candidates in the fields of natural sciences, engineering and technology, health science must have at least 12.0 points scientific works calculated from scientific articles or (i) patent the utility solution. Candidates in the fields of social sciences and humanities, the arts, physical training and sports must have at least 8.0 scientific works points calculated from scientific articles or (or) useful solutions; art works, training achievements, sports competitions and national and international awards.
- c) Candidates in the field of natural sciences, engineering and technology, health science must have at least 3.0 scientific work points calculated from the compilation of books for training, of which at least 1.5 points from curriculum development or (or) monographs. Candidates in social sciences and humanities; Arts and physical training and sports must have at least 5.0 scientific points calculated from the compilation of books in service of training, of which at least 2.5 points will be calculated from the curriculum development or (and) monographs. Candidates who fail to meet the points prescribed in this Clause shall be replaced by the points converted from scientific articles or patents; utility solution; works of art, achievements in training and competitions in international physical training and sport.

Article 6. Standards of associate professor title

- 1. Meet the general criteria of the professor title stipulated in Article 4 of this Decision.
- 2. Having obtained a doctor's diploma for 03 years or more from the date of signing the decision granting the diploma to the deadline for submission of the application.
- 3. At least 06 years, including the last three consecutive years of training and retraining from the university or higher level up to the deadline for submission of applications. Candidates who have not been qualified for 6 years and those who do not have enough teaching hours as stipulated in Clause 3, Article 4 of this Decision, must have at least twice the minimum scientific works' points contributed from articles. study or (i) patent; utility solution; Works of art, achievements in training or competition in physical training and sports, which have been awarded national or international prizes prescribed at Point b, Clause 8 of this Article..
- 4. Announcement of scientific research results

- a) The applicant is the principal author who publishes at least two scientific papers or patents; utility solution; works of art, achievements in training and competitions in international physical training and sport. Candidates who do not have enough scientific works defined at this point must have at least 01 of the above-mentioned works and 01 book of training books published by one of the prestigious publishing houses in the world or with few 01 of the works mentioned above and 01 book of monographs published by a prestigious publishing house.
- b) From January 1, 2020: Candidate is the principal author who publishes at least 03 scientific papers or patents; utility solution; works of art, achievements in training and competitions in international physical training and sport. Candidates who do not have enough scientific works defined at this point must have at least 02 of the above-said works and 01 training book chapter published by one of the prestigious publishing houses in the world or with few 02 of the works mentioned above and 01 book of monographs published by a prestigious publishing house.
- 5. Assume the prime responsibility for implementing at least two grassroots-level scientific and technological tasks or one ministerial-level scientific and technological task which has been accepted by the Ministry. Candidates failing to fulfill the scientific and technological tasks prescribed in this Clause shall be replaced by one scientific paper or one of the works: patent for invention; utility solution; works of art, achievements in training and competitions in international physical training and sport.
- 6. Instruct at least 02 trainees who are awarded master's degree or master's or secondary level of at least 01 PhD student. For health sciences, guiding 01 trainees successfully defending a dissertation or resident doctor shall be counted as guiding one trainee to obtain a master's degree; Candidates who fail to provide sufficient guidance on traineeships or doctoral students specified in this Clause shall be replaced by the converted scientific works. Guiding 01 master's degree student to be replaced with one of the works: Scientific paper; patent; utility solution; books for training; works of art, achievements in training and competitions in international physical training and sport.
- 7. The scientific articles prescribed in Clauses 4, 5 and 6 of this Article are scientific papers published in prestigious international scientific journals after the candidates successfully defend their doctoral theses..
- 8. Candidates must have at least 10.0 points for scientific research, including:
- a) At least 2.5 points will be taken in the last three years up to the deadline for submission;
- b) Candidates in the field of natural sciences, engineering and technology, health science must have at least 6.0 scientific work points calculated from scientific articles, patent or utilities. Candidates in the fields of social sciences and humanities, arts, physical training and sports must have at least 4.0 scientific research points calculated from scientific papers or utility solutions; art works, training achievements, sports competitions and national and international awards.

Article 7. Scientific works accepted for calculation of convertible point

- 1. Scientific works permitted for calculation of convertible points shall include:
- a) Scientific article;
- b) Results of research and application of science and technology have been registered and patented in the country or internationally.
- c) Useful solutions have been applied effectively in state management practice, in management reform and socio-economic development;
- d) Books for training have been established by the head of a tertiary education institution or a competent level and accepted and used for training and fostering from a university or higher degree. The book chapter is published by a prestigious publishing house in the world;
- dd) Scientific reports are published full-text in the yearbook with international standard ISBN codes of national scientific seminars;
- e) Works of art (including compositions of music, theater, cinema, dance, fine arts, architecture and art performance), national and international achievements in training and competitions.
- 2. Each type of scientific work specified in Clause 1 of this Article must have contents suitable to the scientific branch or specialized branch of the candidate registered for consideration and recognition of the professor and associate professor titles, and shall be calculated in points the conversion specified in Appendix I to this Decision. The calculation of points for scientific works shall be based on the scientific quality of each work; For scientific articles, based on the influence factor of the magazine, the citation index of the article.
- 3. A published scientific work with contents overlapping with other works of 30% or more shall be counted only once. News articles and scientific dissertation books, summary of research results, summary reports, reviews, comments, evaluations and translations are not counted as scientific works.

Article 8. Method of calculation of points for authors of scientific works

When multiple scientific works are involved, the principal author shall be entitled to one third of the works' scores; the remaining points are divided according to the contribution of each person including the principal author. In case it is impossible to determine the specific value of each person's contribution, the remaining points will be divided equally for each participant.

Chapter III

PROCEDURES FOR CONSIDERATION OF RECOGNITION OF ACCREDITATION AND APPOINTMENT TO PROFESSOR OR ASSOCIATE PROFESSOR TITLE

Section 1. PROCEDURES FOR CONSIDERATION OF RECOGNITION OF ACCREDITATION FOR THE PROFESSOR OR ASSOCIATE PROFESSOR TITLE AT HIGHER EDUCATION ESTABLISHMENTS

Article 9. Application requirements for consideration of recognition of accreditation for the professor or associate professor title

- 1. A written registration for consideration and recognition of the professor and associate professor titles (form No. 01, Appendix II to this Decision).
- 2. A copy of the decision recognizing or appointing the title of associate professor for the applicant registering for accreditation of professor title. Copies of doctoral degrees for candidates who register for recognition and accreditation of associate professors.
- 3. Copies of diplomas and certificates evidencing proficiency in foreign languages of candidates according to the provisions of Clause 5, Article 2 of this Decision.
- 4. Copy of the decision on or contract for hiring of guest lecturers, required for guest lecturers.
- 5. Comments of heads of tertiary education institutions on training results and scientific research for lecturers (Form No 02, Appendix II issued together with this Decision).
- 6. Copies of the decisions on assignment of tasks of instruction for doctors and masters.
- 7. Copy of the doctoral or master degree of the student assigned as the instructee of the candidate.
- 8. A copy of the decision or contract assigning the scientific and technological task; The record on acceptance or decision on the recognition of results of performance of scientific and technological tasks.
- 9. Copies of patents, utility solutions, national and international awards and supporting documents.
- 10. A copy of the diplomatic note or teaching contract of a foreign educational establishment stating clearly the duration of working as an expert and the decision on sending to work as an education expert abroad by the Ministry of Education and Training of Vietnam.
- 11. The scientific report (Form 03, Appendix II issued with this Decision).
- 12. A copy of the published scientific paper, training book has been assessed and tested in accordance with regulations.
- 13. Certificate of purpose of using books, certificate of participation in the development, development of training programs or programs of research and application of science and technology of heads of tertiary education institutions.

14. Copies of diplomas, certificates, decisions, diplomatic notes or contracts must be authenticated or notarized according to the provisions of law.

Article 10. Forms and characteristics of the application dossier

- 1. The dossiers of registration for recognition and admission to the titles of professors and associate professors (below referred to as dossier sets) are prescribed as follows:
- a) Candidates will prepare one set of documents to be printed, taken on A4 paper, binded, indexed and numbered pages;
- b) The file is in two volumes. Volume I includes the documents specified in Clauses 1 thru 11 of Article 9 of this Decision; Episode II includes scientific papers and training books arranged by the applicant according to each type of works in chronological order and the documents specified in Clauses 12 and 13, Article 9 of this Decision (form No. 04, Appendix II issued together with this Decision).
- c) All documents are digitized in PDF format (called electronic files) and registered online on the website of the higher education institution (where the application file is received).) and on the Web site of the State Council.
- 2. General scientific report on the implementation of the tasks stipulated in Article 3 of this Decision in the form of a scientific work, presentation of scientific ideas, main research directions, research methods, training results and Studying after the diploma granting or granting of a PhD degree to a candidate for registration for recognition of an associate professor title; since being appointed associate professor title for candidates registered for recognition for qualification of professor title. The scientific report does not exceed 10 A4 pages.
- 3. Candidates are responsible for the legality, accuracy of documents and copies. When the competent authority so requests, the applicant shall provide the originals or original documents for comparison.
- 4. Scientific works, patents for inventions and utility solutions of agencies, organizations or citizens related to the contents of State secrets and secrets in the field of national defense and security must be protected in accordance with the provisions of law soft and comply with the regulations of the Minister of Public Security, the Minister of Defense and the competent State bodies.

Article 11. Registration procedures

1. Candidates are university faculty members who submit directly or by post: 01 copy of registration for recognition of professors and associate professors with 2 portraits (4 cm x 6 cm); One set of dossier specified in Clause 1, Article 10 of this Decision at the grassroots Council of their own units and online electronic dossier registration on the website of the tertiary education institution and on the website, electronic information of the State Council.

- 2. Candidates who are full-time lecturers of tertiary education institutions shall not set up grassroots councils and candidates who are visiting lecturers shall submit directly or by post: 01 written registration for consideration and recognition of qualifications Professors, associate professors with 2 portraits (4 cm x 6 cm); a full address of the candidate in the recipient section; One set of dossier specified in Clause 1, Article 10 of this Decision at the grassroots Council of Candidates shall be selected and registered by online candidates on the website of the tertiary education institution (where receiving the applicant's profile) and on the Web site of the State Council.
- 3. The applicant's electronic profile must be publicly available on the website of the higher education institution (where the applicant's application is submitted) and the website of the State Council.
- 4. Scientific works of candidates with contents related to State secrets must be certified by heads of competent agencies and not publicized on websites.

Article 12. Organization of consideration of application dossiers at higher education institutions

- 1. Establishing the faculty-level Councils of Professors at a higher education institutions
- a) Annually, basing itself on the need for recognition and recognition of the titles of professors and associate professors, heads of tertiary education institutions shall decide on the establishment of the faculty-level Councils;
- b) The faculty council has between 9 and 15 members. In order to have sufficient number of members, tertiary education institutions may invite professors and associate professors at home and abroad to join or may associate with other tertiary education establishments in order to set up the faculty-level professor councils;
- c) The Academic and Training Council of a tertiary education institution shall nominate members to join the grassroots-level Council on the grassroots-level websites. Based on the list of nominees, the head of the tertiary education institution shall select persons who satisfy the criteria prescribed in Article 17 of this Decision to set up the faculty-level Council of Professors;
- d) After deciding on the establishment of the grassroots Councils, the head of the tertiary education institution shall hold the meeting of the grassroots-level Council for the first session to elect its chairman, vice chairmen and secretaries. 10 Appendix II issued together with this Decision). Based on the election results, the head of the tertiary education institution shall issue decisions on the appointment of the above titles;
- dd) The chairmen of the grassroots Councils shall have to assign specific tasks to the members of the Board:

- e) The faculty-level Council shall hold a one-year term of office. The heads of the tertiary education institutions shall have to arrange material foundations, equipment, funds, means and other necessary conditions for the Councils.
- 2. Consideration procedures implemented at higher education institutions
- a) The chairmen of the Councils shall organize the receipt of dossiers of candidates for registration for recognition and recognition of the titles of professor or associate professor;
- b) The chairmen of the grassroots Councils shall review the applicants' dossiers and consider the conditions prescribed in Articles 4, 5, 6 and 7 of this Decision, assign the members of the Board or invite professors, assistant professor at home or abroad for evaluation;
- c) Each member is responsible for verifying the legality and accuracy of the file. Copy documents; length of training and results of training, scientific research of the candidate and signature of the signed examination, clearly stating the pros and cons of each dossier (Form No. 05 in Appendix II issued together with Decision). On the basis of the evaluation form, the grassroots-level Council will decide on the list of eligible applicants to present the overview report;
- d) Candidates present an overview of the scientific report. The members of the grassroots-level Council exchanged, discussed publicly and democratically the candidates' dossiers; Discuss directly with the applicant the contents presented in the overview report and relevant contents of the candidate's profession;
- dd) Basic faculty councils coordinate with higher education institutions to organize the assessment of foreign language skills in service of professional work and the ability to communicate in English of each candidate.
- e) Pass the list of qualified candidates to the State Council for Recognition and Recognition of Professors and Associate Professors in the form of vote of confidence (Form 11, Appendix II issued together with under this Decision). Each applicant's CV must be at least 2/3 of the total number of members of the Basic Council
- 3. Publicize the results of consideration by the grassroots Council

The chairmen of the grassroots Councils shall organize the summing up of the results of consideration and recognition of the titles of professors and associate professors, publicize them on the websites of the tertiary education institutions and report the results thereof at the head of the tertiary education institution. After publicizing at least 15 days, heads of tertiary education institutions shall report their results to the State Council for Education.

- 4. Reporting dossier of results of consideration submitted by higher education institutions
- a) The official dispatch of the head of the tertiary education institution shall request the State Council for Professor to consider and recognize the professors and associate professor titles;

- b) Report of results of consideration by the faculty-level Council (Form No. 14 Appendix II hereto attached);
- c) List of candidates eligible for consideration of recognition of accreditation for the professor or associate professor title;
- d) Summary of candidates' standards (Form No 08, Appendix II issued together with this Decision);
- dd) Written record of the meetings of the Council (Form 12, Appendix II issued together with this Decision);
- e) Evaluation report form with the council's members with respect to each dossier;
- g) Vote counting report and votes of confidence of the council's members;
- h) 01 set of paper dossier of the candidate recommended for recognition of accreditation for the professor or associate professor title.

Section 2. CONSIDERATION OF RECOGNITION OF ACCREDITATION FOR THE PROFESSOR OR ASSOCIATE PROFESSOR TITLE AT THE STATE COUNCIL FOR PROFESSOR TITLE

Article 13. Composition and processes for establishment of the State Council for Professor Title

- 1. The State Council consists of the president; a Vice President and Secretary General; a Vice President in charge of natural sciences, engineering and technology; a Vice President in charge of health science; a Vice President in charge of the social sciences and humanities, arts, physical training and sports and members. The Chairman of the Council is the Minister of Education and Training.
- 2. The Prime Minister shall decide on the establishment of the State Council; To appoint the chairman, the vice president and the general secretary and vice presidents at the proposal of the Minister of Education and Training.
- 3. The Prime Minister assigns the Minister of Education and Training the President of the State Council to decide on the appointment of members of the State Council and review the regular adjustment.
- 4. The term of office of the State Council is five years. The members of the State Council are not more than two consecutive terms. The chairman, the vice chairman and the members of the council shall work on a part-time basis. The Vice-President cum Secretary General works on a full-time basis.

- 5. The State Council has a seal of national emblem, private account and separate working place. The operating budget is allocated from the state budget through the Ministry of Education and Training.
- 6. The State Council has an assistant group which comprises the Office and sectoral or intersectoral Council of Professors.
- 7. The Minister of Education and Training issues the regulations on organization and operation of the State Council; the sectoral or intersectoral Council; the faculty-level Council of Professors and the Office of the State Council of Professors.

Article 14. Duties and authority of the State Council

- 1. The State Council of Professors shall guide the operation of faculty-level Councils and sectoral or inter-sectoral Councils.
- 2. Organize the receipt of reports from higher education institutions, to classify the applicants' dossiers proposed by the tertiary education institutions according to their branches and specialties, and publicize their dossiers on the Portal of the State Council and transferred to the sectoral or intersectoral Council of professors.
- 3. Consider approving the list of candidates eligible for accreditation for the professor or associate professor title proposed by the sectoral or intersectoral Councils for Professors.
- 4. Consider special cases in which applications for recognition of accreditation for the professor or associate professor title are submitted to the Prime Minister for his decision; deal with cases in which there are errors or questions arising during the process of consideration by the faculty-level Council and sectoral or intersectoral Council.
- 5. Consider annulling the recognition of the professor or associate professor titles and cancel the recognition of the accredited professors or associate professors who have been recognized as failing to meet the prescribed criteria.
- 6. Coordinate with competent state agencies in settling complaints and denunciations on the consideration and recognition of qualified members, appointing and dismissing the professor or associate professor titles (if any) according to the provisions of law.
- 7. Advise the Minister of Education and Training on orienting the development of professors and associate professors; the quality of doctoral training and participation in the development of the policy of developing professors and associate professors.

Article 15. Duties and authority of the Standing Committee of the Council and the Chairperson of the State Council

- 1. The Standing Committee of the State Council consists of the president, vice president and general secretary and other vice presidents. The standing body of the State Council is responsible for settling the regular and ad hoc work between the two sessions of the Council.
- 2. Duties and authority of the Chairperson of the State Council
- a) Preside over meeting sessions and deal with activities of the State Council during intervals between two meeting sessions;
- b) Make a decision on appointment of members of the State Council;
- c) Make a decision on establishment and appointment of the Chairperson and members of the sectoral or intersectoral Council;
- d) Make a decision to dismiss and appoint the substitute members of the State Council, the members of the Council for branch and interdisciplinary teachers no longer meet the criteria of teachers according to the provisions of law and the prescribed standards in Article 17 of this Decision;
- dd) Assign tasks, define responsibilities and powers of members of the State Council. Ensure the material foundations, equipment, funding, means and other necessary conditions for the State Councils for Teachers, the sectoral or intersectoral Councils;
- e) Guide the operation of the State Council, sectoral or inter-sectoral Councils and faculty-level Councils;
- g) Issue resolutions, sign recognition decisions and issue certificates of qualification for professor or associate professor titles;
- h) Participate in the activities of the Council of State as a member of the Council.

Article 16. Duties and authority of the sectoral and intersectoral Council

- 1. Sectoral and intersectoral Council of professors is a specialized division of the State Council. The President of the State Council shall decide on the establishment and appointment of members according to the Regulation on organization and operation of the State Council on Education, promulgated by the Minister of Education and Training.
- 2. Assist the State Council in identifying professional competencies, research results, research orientations of members in each specialty.
- 3. Conduct evaluation of the dossier of each candidate and the results of consideration of the dossier by the faculty-level Council applying for consideration of recognition of accreditation for the professor or associate professor title.
- 4. Consolidate results and report to the State Council.

- 5. Assist the State Council in considering cancellation of recognition of the title or cancellation of recognition of accreditation for the professor or associate professor title.
- 6. Coordinate with competent state agencies in settling complaints and denunciations on the consideration and recognition of qualified members, appointing and dismissing the professor or associate professor titles (if any) according to the provisions of law.

Article 17. Standards of members of the State Council, sectoral or intersectoral Councils and the faculty-level Councils

- 1. Have good political and moral standards and clear criminal records.
- 2. Show honesty and scientific credentials, have research results published in prestigious international scientific journals or published at reputable publishing houses for 5 years immediately preceding the time of appointment as Members of the Council.
- 3. Proficiently use foreign languages for professional work and good communication in English; have a high sense of responsibility in carrying out assigned tasks.
- 4. Members of the Council must hold the professor title, unless otherwise decided by the Prime Minister. Members of the sectoral or intersectoral Councils or faculty-level Councils must hold the professor or associate professor title.
- 5. Currently participate in trainings and occupy the managerial position holding at least the undergraduate degree.
- 6. Have health and time to perform assigned tasks.

Article 18. Working principles of the State Council, sectoral or intersectoral Councils and the faculty-level Councils

- 1. Adhere to collectivism, public disclosure and democracy principles at meeting sessions in order to make resolutions on issues related to performance of tasks of the Council.
- 2. Meeting sessions of the State Council, sectoral or intersectoral Councils and faculty-level Councils shall be organized only if at least 3/4 of total membership participate in the meeting.
- 3. List of candidates eligible for consideration of recognition of accreditation for the professor or associate professor title;
- 4. The State Council, the sectoral and intersectoral Councils shall hold regular or extraordinary meetings at the proposal of the Chairman of the Council or by more than half of the members of the Council for discussion and proposing issues related to the operation of the Council.
- 5. Regular or extraordinary meetings of the State Council, the Standing Board of the State Council can be held in the form of: Direct meetings, online meetings or written comments.

6. The reorganized meeting for consideration shall be conducted only when there is a document from the competent body concluding that the consideration for accreditation has met the criteria of the title of professor or associate professor who has violated the current provisions of law (Form No.13, Appendix II issued together with this Decision).

Article 19. Processes for consideration by the sectoral or intersectoral Council of Professors

- 1. Appraisal of dossiers: Each dossier of registration for consideration and recognition of the professorship must be approved by at least 3 professors of the same branch or specialized science with the appraised candidate, with the signature and comment on scientific capability and assess whether or not to meet the standards. Each dossier of registration for recognition and recognition of an associate professor title must be signed by at least 03 professors or associate professors of the same branch or specialized science with the appraised candidate. The tasks prescribed in Article 3 of this Decision (Form No. 06, Appendix II to this Decision). The appraiser must be responsible for results of appraisal, evaluation and his/her comments on the candidate's dossiers.
- 2. Assessment and conclusion of the candidate's dossiers: The members of the sectoral or intersectoral councils shall exchange and discuss publicly and democratically the appraisal, evaluation opinions and remarks on each dossier. On that basis, each member of the Council shall write a signed statement indicating the candidate's strengths and weaknesses, and conclude whether or not the applicant meets or exceeds the criteria for recognition as a professor (Form No. 07, Appendix II issued together with this Decision).
- 3. Candidates shall presents the overview reports in English. The members of the sectoral or intersectoral Council shall directly discuss contents specified in the overview report and professional and skill issues with candidates; assess English communications level of each candidate. As for specific academic disciplines, candidates may present overview reports in English. The sectoral or intersectoral Council in collaboration with the State Council shall conduct assessment of English communications level of each candidate.
- 4. Elect members of the Vote Count Board, proceed to cast trust votes on each candidate's dossier (Form No. 11 in the Appendix II hereto attached). If members of the sectoral and intersectoral Council holding the professor title apply for recognition of accreditation for the professor title, they shall not be allowed to get involved in any discussion on their dossiers.
- 5. Each candidate's dossier must receive at least 2/3 trust votes from total membership of the sectoral or intersectoral Council. Results of casting of trust votes shall be publicly disclosed in the Council's meeting.
- 6. Publicize the results of consideration by the sectoral or intersectoral Council
- a) The Chairperson of the sectoral or intersectoral Council shall be held responsible for publicizing the results of the Council for at least 15 days on the Portal of the State Council before reporting to the State Council on results of consideration by the sectoral or intersectoral Council;

- b) Contents of consideration results to be publicly disclosed shall include total score and converted score of: Scientific papers, monographs, textbooks, reference books, instructional books; the results of application of patented science and technology; utility solutions; art works, training achievements, sports competitions in which national and international prizes have been obtained.
- 7. Report to the State Council on the results of consideration by the sectoral or intersectoral Council.
- a) Report of results of consideration by the sectoral or intersectoral Council (Form No. 14 Appendix II hereto attached);
- b) List of candidates eligible for consideration of recognition of accreditation for the professor or associate professor title;
- c) Summary of candidates' standards (Form No 09, Appendix II issued together with this Decision);
- d) Written record of the meetings of the Council (Form No.12, Appendix II issued together with this Decision);
- dd) Statement of evaluation of the candidate's dossier with the appraiser's signature;
- e) Comment report of the Council on each candidate's dossier;
- g) Vote counting report and votes of confidence of the council's members;
- h) 01 set of paper dossier of the candidate recommended for recognition of accreditation for the professor or associate professor title.

Article 20. Processes for consideration by the State Council

- 1. The State Council's Chairperson holds meetings so that the Chairperson of the sectoral or intersectoral council reports on the results of consideration by the sectoral or intersectoral council, and answer oral questions of members of the State Council.
- 2. Members of the State Council publicly and democratically discuss the results of dossier appraisal and organization of consideration by the sectoral or intersectoral Council.
- 3. Elect members of the Vote Count Board and proceed to cast votes (Form No. 11 in the Appendix II hereto attached) to come with the results of consideration by the sectoral or intersectoral Council and determine the list of candidates eligible for recognition of accreditation for the professor or associate professor title. There must be more than a half of total membership of the State Council casting unanimous votes.

- 4. The State Council passes the resolution of the meeting, publishes the results on the portal of the State Council and the portal of the Ministry of Education and Training.
- 5. Recognize accreditation for the professor or associate professor title:
- a) Based on the meeting's resolution, after a period of 15 days from the date of announcement of results, the Chairperson of the State Council signs a decision on recognition and grant of certificate of accreditation for the professor or associate professor title to candidates. After the period of 5 years from the date of the decision on recognition of accreditation, if a candidate is not appointed to the professor or associate professor title at higher education institutions, this decision shale be in effect;
- b) The State Council shall be responsible for sending the decision along with the list of lecturers achieving the recognition of accreditation for the professor or associate professor title to the Ministry of Education and Training, higher education institutions, organizations or individuals concerned and reporting to the Prime Minister.

Article 21. Special cases

Teachers or scientists who have significant contributions to scientific and technological tasks in the country and the world shall be exceptionally exempted from standards prescribed in Article 5 hereof (with respect to the professor title) and Article 6 hereof (with respect to the associate professor title).

Article 22. Dossier appraiser

- 1. The dossier appraiser must work in the same discipline or specialty as candidates for registration of consideration of accreditation for the professor or associate professor title.
- 2. Grassroots-level Councils, sectoral and intersectoral Councils may invite domestic or foreign professors or associate professors who have expertise in the profession of candidates, have earned high academic reputation, have good qualities and show their honesty in order to appraise all or a part of each candidate's dossier.
- 3. Selection of appraiser of dossiers and appraisal results shall be kept confidential until the date of disclosure of recognition of accreditation for the professor or associate professor title on the Portal of the State Council.

Article 23. Dossier management

1. The candidate's dossiers and documents stating consideration of accreditation must be kept and preserved at the grassroots-level Council. The unit keeping dossiers must ensure safety and convenience for access, consideration and appraisal during the process of consideration of accreditation and appointment to the professor and associate professor title.

- 2. The State Council shall be responsible for organizing storage and management of dossiers submitted to apply for consideration of recognition of accreditation for the professor or associate professor title at the sectoral or intersectoral Council or the State Council.
- 3. Storage and management of dossiers for recognition of accreditation for the professor and associate professor title shall be subject to the Law on Archival and relevant legislations.

Section 3. APPOINTMENT TO THE PROFESSOR OR ASSOCIATE PROFESSOR TITLE AT HIGHER EDUCATION ESTABLISHMENTS

Article 24. Determination of demands for appointment to the professor and associate professor title

- 1. Pursuant to the provisions laid down in the Law on Education, the Law on Higher Education, the Law on Public Officers and other relevant legislations; according to requirements concerning educational and scientific research quality and prestige of higher education institutions, the head of a higher education institution shall define the position, number, criteria and conditions for the appointment of professors and associate professors according to their positions, suitable to each training discipline but is not lower than the standards prescribed in this Decision, and make known to the public before implementation.
- 2. According to regulations in force and demands of higher education institutions, on an annual basis, the head of a higher education institution shall disclose position, quantity, standard and conditions for appointment to the professor and associate professor title at each major or specialty of each higher education institution before receipt of the application dossier for appointment.

Article 25. Requirements for appointment to the professor and associate professor title

- 1. Candidates must obtain recognition of accreditation for the professor or associate professor title, and meet appointment requirements of each higher education institution.
- 2. Candidates must obtain recognition of accreditation for the professor or associate professor title from foreign higher education institutions, and meet appointment requirements of each higher education institution. Foreign higher education institutions regulated in this clause have been appraised and accredited by an appraising organization authorized under the host country's law.

Article 26. Procedures for registration of appointment to the professor and associate professor title

- 1. A written registration for consideration and recognition of the professor and associate professor titles (Form No. 15 in the Appendix II to this Decision).
- 2. Copy of the decision on recognition of accreditation for the associate professor title with respect to candidates who register for the appointment to the associate professor title

- 3. Copy of the decision on appointment to the associate professor title and the decision on recognition of accreditation for the professor title with respect to candidates who register for the appointment to the professor title.
- 4. Proof of appointment to the professor or associate professor title by foreign higher education institutions (if available).
- 5. Copies must be authenticated or notarized under the provisions of laws or compared with originals upon submission.

Article 27. Processes for appointment to the professor and associate professor title

- 1. Higher education institution receives dossiers submitted to apply for registration of appointment to the professor or associate professor title; classifies dossiers by academic disciplines or specialties.
- 2. Head of higher education institution decides establishment of the Board of consideration of appointment to the professor or associate professor title.
- 3. Board member makes public discussions on each candidate's dossier. In order to be shortlisted as those in the list of recommended candidates, he/she must receive unanimous votes from more than a half of total membership. Results of consideration must be published on the portal of higher education institution.
- 4. Within a period of 15 days after the date of disclosure of appointment results, the head of higher education institution shall issue a decision on appointment to the professor or associate professor title to candidates accredited and eligible for the title depending on the structure of such titles. The appointment decision must clarify academic disciplines or specialties of candidates and name of appointing higher education institutions. Candidates shall be recognized to hold the professor or associate professor title after receipt of the appointment decision of the head of higher education institution.
- 5. Term of office of the professor or associate professor shall be 05 years. After end of the term of office, the head of higher education institution shall organize the review or evaluation according to regulations on positions, quantity, standards and requirements for appointment to the professor or associate professor title in order to form a basis for re-appointment. Annual review of the professor or associate professor title shall be subject to applicable regulations applied to lecturers.
- 6. Higher education institutions shall be responsible for reporting on the appointment results to the Minister of Education and Training and the head of the host institution. Reporting dossier shall include: Results of consideration at the grassroots-level institution together with the report (Form No. 16 in the Appendix II to this Decision) and the decision on appointment to the professor or associate professor title.

Article 28. Appointment to the professor and associate professor title

- 1. Lecturers who have become public officers of state-owned higher education institutions after being appointed to the professor or associate professor title shall be considered to be appointed to the teaching public officer rank and shall implement regimes and policies applied to public officers under laws.
- 2. Non-state higher education institutions shall elaborate on appointment, preference policies and work requirements with respect to the professor or associate professor at the grassroots-level institutions.

Chapter IV

PROCEDURES FOR CANCELLATION OF RECOGNITION AND REMOVAL OF PROFESSOR OR ASSOCIATE PROFESSOR TITLE

Section 1. CONSIDERATION OF CANCELLATION OF RECOGNITION OF PROFESSOR OR ASSOCIATE PROFESSOR TITLE

Article 29. Cases in which cancellation of recognition of professor or associate professor title is considered

- 1. Persons who have already been awarded or recognized as professor or associate professor title are found or determined as not conforming to prescribed standards.
- 2. Those who hold professor or associate professor title use degrees or certificates which are not legal, revoked or have their doctoral degrees dispossessed.
- 3. Those who hold professor or associate professor title have been subject to a disciplinary action in the form of dismissal.
- 4. Those who hold professor or associate professor title have been charged by the court under the judgement in effect.

Article 30. Processes for consideration of cancellation of recognition of professor or associate professor title

Whenever there is any complaint, accusation or report of an organization or entity or individual on cases specified in Article 29 hereof, the State Council shall convene a meeting to consider cancellation of recognition of the professor or associate professor title according to the following process:

- 1. The Chairperson of the State Council presides over and collaborates with higher education institutions and other entities concerned in verifying cases in which cancellation of recognition of professor or associate professor title is considered.
- 2. The State Council conducts the appraisal of each case, promulgates the resolution to cancel recognition of the professor or associate professor title.

3. According to the resolution of the State Council, the Chairperson of the Council issues the decision on cancellation of recognition of the professor or associate professor title with respect to cases prescribed in Article 29 hereof; informs authorities having competencies in managing lecturers, higher education institutions and persons subject to cancellation of recognition of professor or associate professor title.

Section 2. REMOVAL OF PROFESSOR OR ASSOCIATE PROFESSOR TITLE

Article 31. Cases in which removal of professor or associate professor title is considered

- 1. Those who hold professor or associate professor title have obtained the decision on cancellation of recognition of professor or associate professor title.
- 2. Those who hold professor or associate professor title have been determined as not conforming to appointment standards and requirements.
- 3. Those who hold professor or associate professor title have not completed assigned tasks after being appointed.

Article 32. Processes for consideration of removal of professor and associate professor title

- 1. Upon receipt of the decision to cancel the recognition of the professor or associate professor title from the State Council, or the legal proof that cases of inconformity with unconformity with appointment standards and requirements or cases of assessment as failure to complete the assigned tasks under Article 31 hereof are determined, the head of higher education establishments shall set up councils to consider the removal from the professor or associate professor title for review and consideration on a case-by-case basis.
- 2. Based on the conclusions of the Council of consideration of removal of the professor or associate professor title, the head of higher education institution shall issue the decision on removal of the professor or associate professor title, and then report to the head of the host institution and the Minister of Education and Training.

Chapter V

IMPLEMENTATION ORGANIZATION

Article 33. Responsibilities of the Ministry of Education and Training

- 1. Organize the periodic or spontaneous inspection or audit of:
- a) Consideration of recognition of accreditation for the professor or associate professor title; cancellation of recognition of the professor or associate professor title;
- b) Appointment and removal of the professor or associate professor title and responsibilities of the head of higher education institution in accordance with regulations in force;

- c) Appointment to the professional rank as public officers with respect to lecturers who have been appointed to the professor or associate professor title in state-owned higher education institutions under laws.
- 2. Preside over and collaborate with organizations or individuals concerned in dealing with complaints, accusations or denunciations relating to consideration of recognition of accreditation for the professor or associate professor title (if any).
- 3. Cooperate with the Ministry of Home Affairs and ministries or sectoral administrations concerned in formulating and appealing the Government and the Prime Minister to promulgate policies and benefits applied to professors and associate professors.

Article 34. Responsibilities of the Ministry of Home Affairs

Preside over and cooperate with ministries and sectoral administrations concerned in formulating and appealing the Government and the Prime Minister to promulgate policies and benefits applied to professors and associate professors.

Article 35. Responsibilities of the head of higher education establishment

- 1. Make a decision on appointment to the professor or associate professor title with respect to lecturers conforming to requirements referred to in Article 24 and Article 25 hereof.
- 2. Make a decision under his/her jurisdiction or request competent authorities to issue the decision on appointment to the professional rank as public officers with respect to lecturers who have been appointed to the professor or associate professor title in state-owned higher education institutions.
- 3. Implement policies applied to professors or associate professors who have been appointed at grassroots-level institutions; issue proper incentive policies and provide favorable conditions for professor and associate professors to complete assigned tasks, and ensure respect for rights and interests of professors or associate professors under laws.
- 4. Coordinate with competent state agencies in settling complaints and denunciations on the consideration and recognition of qualified members, appointing and dismissing the professor or associate professor title (if any) according to the provisions of law.

Article 36. Entry into force

- 1. This Decision shall be in effect from October 15, 2018.
- 2. The Prime Minister's Decision No. 174/2008/QD-TTg dated December 31, 2008 issuing standards, procedures for appointment and removal of the professor or associate professor title; the Prime Minister's Decision No. 20/2012/QD-TTg dated April 27, 2012 amending and supplementing Regulations on standards and procedures for appointment and removal of the

professor and associate professor title issued together with the Decision No. 174/2008/QD-TTg dated December 31, 2008, shall become invalid from the date of entry into force of this Decision.

3. Ministers, Heads of Ministry-level agencies, Heads of Governmental bodies, Chairpersons of People's Committees of centrally-affiliated cities and provinces, and other organizations and individuals involved, shall be responsible for implementing this Decision./.

PRIME MINISTER

Nguyen Xuan Phuc

The state of the s

This translation is made by **THU VIỆN PHÁP LUẬT**, Ho Chi Minh City, Vietnam and for reference purposes only. Its copyright is owned by **THU VIỆN PHÁP LUẬT** and protected under Clause 2, Article 14 of the Law on Intellectual Property. Your comments are always welcomed